

PTO/SB/08a (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet

1

of

10

Complete if Known

Application Number.	10/505207
Filing Date	
First Named Inventor	Mark Plehiers
Art Unit	
Examiner Name	
Attorney Docket Number	104991-146520

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
	1	US- 3,403,169	09/24/1968	Rudner et al.	
	2	US- 4,593,055	06/03/1986	Gilitz et al.	
	3	US- 4,594,365	06/10/1986	Russell et al.	
	4	US- 4,687,792	08/18/1987	Russell, et al.	
	5	US- 4,957,989	09/18/1990	Saitoh	
	6	US- 4,997,944	03/05/1991	Zeldin et al.	
	7	US- 5,510,430	04/23/1996	Rubinsztajn et al.	
	8	US- 5,767,171	06/16/1998	Matsubara et al.	
	9	US- 5,795,374	08/18/1998	Itoh et al.	
	10	US- 6,110,990	08/29/2000	Nakamura et al.	
	11	US- 6,172,132	01/09/2001	Nakamura et al.	
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				
	12	EP 0714957	06/05/1996	Matsubara et al.		
	13	EP 0802243	10/22/1997	Itoh et al.		
	14	DE 261503	09/30/1911	Knapp		
	15	DE 1958954	05/27/1971	Steslich		
	16	FR 2789850	04/18/1997	Michel et al.		

Examiner
SignatureDate
Considered

10/17/05

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 2

of 10

Complete if Known

Application Number	10/505207
Filing Date	
First Named Inventor	Mark Plehiers
Art Unit	
Examiner Name	
Attorney Docket Number	104991-146520

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ Number ⁴ Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
	17	WO 84/02915	08/02/1984	Russell et al.		
	18	JP 01-132668	05/25/1989	Tamio et al.		
	19	JP 01-146969	06/08/1989	Nobuhiro et al.		
	20	JP 5-306290	11/19/1993	Masaaki et al.		

Examiner
SignatureDate
Considered

10/17/05

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 801.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known


Application Number	10/505,207
Filing Date	
First Named Inventor	Mark Plehiers
Art Unit	
Examiner Name	
Attorney Docket Number	104991-146520

Sheet	3	of	10
-------	---	----	----

U. S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T
		Country Code ³ Number ⁴ Kind Code ⁶ (if known)	MM-DD-YYYY			
	21	JP 05-077712	03/30/1993	Kazumi et al.		
	22	JP 07-018216	01/20/1995	Yoshihiro et al.		
	23	JP 10-195084	07/28/1998	Junji et al.		
	24	JP 10-212293	08/11/1998	Masayasu		
	25	JP 63-118381	05/23/1988	Shigeru et al.		
	26	JP 63-215780	9/8/1988	Goro et al.		

**Examiner
Signature**

Date
Considered

10/17/05

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet	4	of	10
-------	---	----	----

Complete if Known

Application Number	10/505.207
--------------------	------------

Filing Date

First Named Inventor	Mark Plehiers
----------------------	---------------

Art Unit


Examiner Name

Attorney Docket Number	104991-146520
------------------------	---------------

U. S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No.†	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	†
		Country Code* Number * Kind Code* (if known)				
	27	JP 04-134135	11/19/1993	Maasaki et al.		
	28	JP 06-247987	09/06/1994	Toru et al.		
	29	JP 09-018507	08/11/1998	Junji et al.		
	30	JP 09-157278	06/17/1997	Ryotaro		

**Examiner
Signature**

Date	Considered
11/1/80	11/1/80
11/2/80	11/2/80
11/3/80	11/3/80
11/4/80	11/4/80
11/5/80	11/5/80
11/6/80	11/6/80
11/7/80	11/7/80
11/8/80	11/8/80
11/9/80	11/9/80
11/10/80	11/10/80
11/11/80	11/11/80
11/12/80	11/12/80
11/13/80	11/13/80
11/14/80	11/14/80
11/15/80	11/15/80
11/16/80	11/16/80
11/17/80	11/17/80
11/18/80	11/18/80
11/19/80	11/19/80
11/20/80	11/20/80
11/21/80	11/21/80
11/22/80	11/22/80
11/23/80	11/23/80
11/24/80	11/24/80
11/25/80	11/25/80
11/26/80	11/26/80
11/27/80	11/27/80
11/28/80	11/28/80
11/29/80	11/29/80
11/30/80	11/30/80

10/17/05


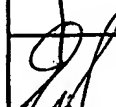
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

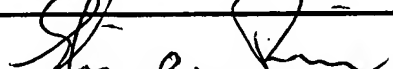
This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>		Complete if Known	
		Application Number	10/505,207
		Filing Date	
		First Named Inventor	Mark Plehiers
		Art Unit	
		Examiner Name	
Sheet 5	of 10	Attorney Docket Number	104991-146520

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	31	A. Chapman & A.D. Jenkins, J. Polym Sci. Polym. Chem. Edn. vol. 15, p. 3075-78 (1977)	
	32	J. Valade, Compute Rendu de l'Academie des Sciences 246, p. 952-954 (1958)	
	33	DATABASE CROSSFIRE BEILSTEIN, Database accession no. Reaction ID 673962 XP002205020	
	34	DATABASE CROSSFIRE BEILSTEIN, Data accession no. BRN 2322813 XP002240418	
	35	DATABASE CROSSFIRE BEILSTEIN, Data accession no. BRN 2351835 XP002240423	
	36	DATABASE CROSSFIRE BEILSTEIN, Database accession no. BRN 2412288 XP002240424	
	37	DATABASE CROSSFIRE BEILSTEIN, Database accession no. BRN 2409969 XP002240425	
	38	DATABASE CROSSFIRE BEILSTEIN, Database accession no. BRN 4369442; BRN 4368137 XP002240426	
	39	DATABASE CROSSFIRE BEILSTEIN, Database accession no. BRN 2254838 XP002240427	
	40	DATABASE CROSSFIRE BEILSTEIN, Database accession no. BRN 1368535 XP002240428	

Examiner Signature		Date Considered	10/17/05
--------------------	---	-----------------	----------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)		Complete If Known	
		Application Number	10/505,207
		Filing Date	
		First Named Inventor	Mark Plehiers
		Art Unit	
Examiner Name			
Sheet 6	of 10	Attorney Docket Number	104991-146520

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
Ref	41	BEILSTEIN Registry No. 4667021	
	42	BEILSTEIN Registry No. 2238382	
	43	BEILSTEIN Registry No. 4370688	
	44	BEILSTEIN Registry No. 4372078	
	45	BEILSTEIN Registry No. 4859331	
	46	BEILSTEIN Registry No. 4376133	
	47	BEILSTEIN Registry No. 4275597	
	48	BEILSTEIN Registry No. 4274517	
	49	BEILSTEIN Registry No. 4189160	
	50	BEILSTEIN Registry No. 3547154	

Examiner Signature	<i>[Signature]</i>	Date Considered	10/17/05
--------------------	--------------------	-----------------	----------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.
1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.
This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

Application Number	10/505,207
Filing Date	
First Named Inventor	Mark Plehiers
Art Unit	
Examiner Name	
Attorney Docket Number	104991-146520

Sheet 7

of

10

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	61	BEILSTEIN Registry No. 2995270	
	62	BEILSTEIN Registry No. 7632735	
	63	BEILSTEIN Registry No. 5429839	
	64	BEILSTEIN Registry No. 5018427	
	65	BEILSTEIN Registry No. 2869784	
	66	BEILSTEIN Registry No. 2774864	
	67	BEILSTEIN Registry No. 2739708	
	68	BEILSTEIN Registry No. 2423470	
	69	BEILSTEIN Registry No. 2423471	
	70	BEILSTEIN Registry No. 2423472	

Examiner
Signature

Date

Considered

10/17/05

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>		Complete if Known	
		Application Number	10/505,207
		Filing Date	
		First Named Inventor	Mark Plehiers
		Art Unit	
		Examiner Name	
Sheet 8	of 10	Attorney Docket Number	104991-146520

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	71	BEILSTEIN Registry No. 2982647	
	72	BEILSTEIN Registry No. 2995148	
	73	BEILSTEIN Registry No. 2981205	
	74	BEILSTEIN Registry No. 2981206	
	75	BEILSTEIN Registry No. 2977557	
	76	BEILSTEIN Registry No. 3004652	
	77	Green et al., "Protective Groups in Organic Synthesis", pp. 112-117, 428-29 (1999).	
	78	Azipurua et al., "Reagents and Synthetic Methods; 43. A New Practical Preparation of Trimethylsilyl Trifluoromethanesulfonate," Synthesis (Communications), Vol. 2, pp. 206-07 (Feb. 1985)	
	79	Trafimov et al., "Hydrolysis of endo-cis-5-Norbornene- 2, 3-dicarboxylic Anhydride," Russ. J. of Gen. Chem., Vol. 68, No. 4, pp. 572-77 (1998).	

Examiner Signature		Date Considered	10/17/05
--------------------	--	-----------------	----------

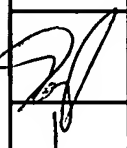
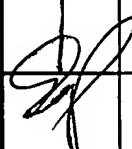
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)		Complete if Known	
		Application Number	10/505,207
		Filing Date	
		First Named Inventor	Mark Plehiers
		Art Unit	
		Examiner Name	
Sheet 9	of 10	Attorney Docket Number	104991-146520

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	80	Kopylov et al., "Redistribution Reaction of Organodisiloxanes with Different Substituents at the Silicon Atom," Russ. J. of Gen. Chem., Vol. 59, No. 11, pp. 2515-20 (1989).	
	81	Voronkov et al., "Reaction of Hexaalkyldisiloxides with Halogenides of Aluminum. New Method of Synthesis of Trialkylhalogensilanes," Reports of the USSR Academy of Sciences, Vol. 84, No. 5, pp. 959-61 (1952).	
	82	Pray et al., "Trimethylhalosilane Preparations," JACS, Vol. 70, pp. 433-34 (1948).	
	83	Sommer et al., "Reactions of Trimethylsilyl Sulfate," JACS, Vol. 70, pp. 445-47 (1948).	
	84	Hofle et al., "4-Dialkylamonopyridines as Highly Active Acylation Catalysts," Angewandte Chemie Int'l Ed., Vol. 17, pp. 569-83 (1978).	
	85	Scriven, "4-Dialkylamonopyridines: Super Acylation Al Alkylation Catalysts," Chem. Soc. Rev., Vol. 12, pp. 129-61 (1983).	
	86	Lin et al., "Kinetics of Trans-Cinnamic Anhydride Reactions Catalyzed by Pyridine, 4-Dimethylammopyridine, and N-Methylimidazole," J. Pharm. Sci., Vol. 70., No. 3, pp. 235-38 (1981).	

Examiner Signature 	Date Considered 10/17/05
--	--------------------------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>		Complete if Known	
		Application Number	10/505,207
		Filing Date	
		First Named Inventor	Mark Plehiers
		Art Unit	
		Examiner Name	
Sheet 10	of 10	Attorney Docket Number	104991-146520

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
JP	87	Tortolani et al., "Prodrugs of 2', 3' - Dihydro - 3' - deoxythymidine (D4T): Synthesis, Antiviral Activity, and Rapid Pharmacokinetic Evaluation," J. Pharm. Sci., Vol. 83, No. 3, pp. 339-43 (1994).	
	88	Rubinstajn et al., "New Transacylation Catalysts: Silanes and Siloxanes Functionalized with 4-(Dialkylamino) pyridine Moieties", Macromolecules, Vol. 23, pp. 4026-27 (1990).	
	89	Swint et al., 5, 5' - (1, 1, 3, 3 - tetramethylidi siloxane - 1, 3-dily) bisbisyclo [2.2.1] et al., "A disiloxane equilibration approach to the preparation of	
		characterization of heptane - 2, 3 - dicnboxylic anhydride," J. Organometallic Chem., Vol. 402, pp. 145-53 (1991).	
	90	Pinnick et al., "A New Preparation of Trimethylsilyl Ethers," Tetrahedron Letters, No. 44, pp. 4261-262 (1978).	
	91	Schein et al., "Synthesis of siloxanes X11. Cleavage of Siloxanes 6y hydrogen chloride," J. Organometallic Chemistry, Vol. 356, pp. 141-49 (1988).	
JP	92	March, "Advanced Organic Chemistry Reactions, Mechanisms and Structures," (4th ed. 1992), pp. 334, 377-78, 393.	

Examiner Signature	<i>John O. [Signature]</i>	Date Considered	10/12/05
--------------------	----------------------------	-----------------	----------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.
¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.
This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-766-9199) and select option 2.